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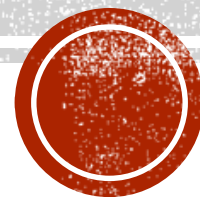
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# 子查询优化的最佳实践

✉ [tingzhong.huang@enmotech.com](mailto:tingzhong.huang@enmotech.com)

☎ 18081072613



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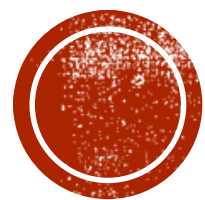


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# SQL优化的核心思想



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# SQL优化的核心思想

核心思想

减少IO次数（物理和逻辑）

优化目的

减少SQL执行时间



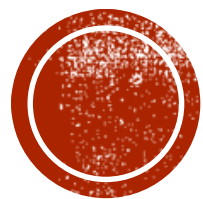
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# SQL慢在那里



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# SQL慢在那里-常用方法

- 查看SQL语句
- 查看执行计划
- 查看10053
- 查看10046
- 11g以后sql monitor查看
- dbms\_profile (pl/sql)
- hint(gather\_plan\_statistics)
- autotrace



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# SQL慢在那里-最佳方法

如果SQL语句运行大于6S，可以利用sql monitor来快速定位SQL语句慢在什么地方

如果小于6S或者是毫秒级别的SQL语句呢？

`/*+ sql_monitor*/ /*+ gather_plan_statistics*/`这样的提示？



其实可以利用执行计划于ASH采样的数据结合，来快速定位SQL语句痛点



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# SQL慢在那里-最佳方法

Id	Operation	Name	Rows
0	SELECT STATEMENT		
1	SORT ORDER BY		1
* 2	FILTER		
3	NESTED LOOPS		1
4	NESTED LOOPS		1
5	NESTED LOOPS		1
6	NESTED LOOPS		103
7	INLIST ITERATOR		
8	TABLE ACCESS BY INDEX ROWID	SYS_DOMAIN	1
* 9	INDEX RANGE SCAN	IDX_SYS_DOMAIN_1	1
10	TABLE ACCESS FULL	STAFF	266K
* 11	TABLE ACCESS BY INDEX ROWID	A_PAY_ASSISTANT	1
* 12	INDEX RANGE SCAN	IDX_A_P_A_002	33
* 13	INDEX UNIQUE SCAN	PK_BSS_ORG	1
14	TABLE ACCESS BY INDEX ROWID	BSS_ORG	1



# SQL慢在那里-最佳方法

Id	Operation	Name	Rows	
0	SELECT STATEMENT			CPU(3)(.04%)
1	SORT ORDER BY		1	
* 2	FILTER			
3	NESTED LOOPS		1	
4	NESTED LOOPS		1	
5	NESTED LOOPS		1	CPU(9)(.12%)
6	NESTED LOOPS		103	CPU(16)(.21%)
7	INLIST ITERATOR			
8	TABLE ACCESS BY INDEX ROWID	SYS_DOMAIN	1	
* 9	INDEX RANGE SCAN	IDX_SYS_DOMAIN_1	1	
10	TABLE ACCESS FULL	STAFF	266K	CPU(860)(11.07%)
* 11	TABLE ACCESS BY INDEX ROWID	A_PAY_ASSISTANT	1	gc current block congeste(1)(.01%) CPU(3039)(39.12%)
* 12	INDEX RANGE SCAN	IDX_A_P_A_002	33	latch: cache buffers chai(1)(.01%) CPU(3840)(49.43%)
* 13	INDEX UNIQUE SCAN	PK_BSS_ORG	1	
14	TABLE ACCESS BY INDEX ROWID	BSS_ORG	1	



# SQL慢在那里-最佳方法

Id	Operation	Name	Rows	Id	Operation	Name	Rows
0	SELECT STATEMENT			0	SELECT STATEMENT		CPU(3)(.04%)
1	SORT ORDER BY		1	1	SORT ORDER BY		1
* 2	FILTER			* 2	FILTER		
3	NESTED LOOPS		1	3	NESTED LOOPS		1
4	NESTED LOOPS		1	4	NESTED LOOPS		1
5	NESTED LOOPS		1	5	NESTED LOOPS		1 CPU(9)(.12%)
6	NESTED LOOPS		103	6	NESTED LOOPS		103 CPU(16)(.21%)
7	INLIST ITERATOR			7	INLIST ITERATOR		
8	TABLE ACCESS BY INDEX ROWID	SYS_DOMAIN	1	8	TABLE ACCESS BY INDEX ROWID	SYS_DOMAIN	1
* 9	INDEX RANGE SCAN	IDX_SYS_DOMAIN_1	1	* 9	INDEX RANGE SCAN	IDX_SYS_DOMAIN_1	1
10	TABLE ACCESS FULL	STAFF	266K	10	TABLE ACCESS FULL	STAFF	266K
* 11	TABLE ACCESS BY INDEX ROWID	A_PAY_ASSISTANT	1	* 11	TABLE ACCESS BY INDEX ROWID	A_PAY_ASSISTANT	1
* 12	INDEX RANGE SCAN	IDX_A_P_A_002	33	* 12	INDEX RANGE SCAN	IDX_A_P_A_002	33
* 13	INDEX UNIQUE SCAN	PK_BSS_ORG	1	* 13	INDEX UNIQUE SCAN	PK_BSS_ORG	1
14	TABLE ACCESS BY INDEX ROWID	BSS_ORG	1	14	TABLE ACCESS BY INDEX ROWID	BSS_ORG	1

gc current block congeste(1)(.01%)  
CPU(3039)(39.12%)  
latch: cache buffers chai(1)(.01%)  
CPU(3840)(49.43%)



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# SQL慢在那里-最佳方法-限制

对正在执行的且在ASH里面只有当前正在执行的数据的SQL，只能定位已经执行过的步骤的最慢位置，未执行的步骤不能定位，SQL MONITOR也存在同样问题。



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# OR遇到了子查询的改写



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# OR遇到子查询的改写

```
create table system.test1 as select * from dba_objects;  
create table system.test2 as select * from dba_objects;  
create table system.test3 as select * from dba_objects;
```

```
SELECT owner, object_type  
FROM SYSTEM.test1 a  
WHERE ( a.object_id IN (51577, 51575,51352)  
AND a.owner IN (SELECT OWNER  
FROM SYSTEM.test3  
WHERE object_type = 'TABLE'))  
OR ( a.object_type = 'TABLE'  
AND a.DATA_OBJECT_ID IN (SELECT DATA_OBJECT_ID  
FROM SYSTEM.test2  
WHERE object_name IN ('EMP', 'BONUS', 'DEPT')))
```



# OR遇到子查询的改写-定位瓶颈

```
SYS          JAVA CLASS
SYS          JAVA CLASS
SCOTT        TABLE
SCOTT        TABLE
SCOTT        TABLE
```

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	Time
0	SELECT STATEMENT		76	4104	291 (1)	00:00:04
* 1	FILTER					
2	TABLE ACCESS FULL	TEST1	75597	3986K	291 (1)	00:00:04
* 3	TABLE ACCESS FULL	TEST3	31	868	11 (0)	00:00:01
* 4	TABLE ACCESS FULL	TEST2	1	79	290 (1)	00:00:04

Predicate Information (identified by operation id):

```
1 - filter(("A"."OBJECT_ID"=51352 OR "A"."OBJECT_ID"=51575 OR
"A"."OBJECT_ID"=51577) AND EXISTS (SELECT 0 FROM "SYSTEM"."TEST3"
"TEST3" WHERE "OWNER"=:B1 AND "OBJECT_TYPE"='TABLE') OR
"A"."OBJECT_TYPE"='TABLE' AND EXISTS (SELECT 0 FROM "SYSTEM"."TEST2"
"TEST2" WHERE "DATA_OBJECT_ID"=:B2 AND ("OBJECT_NAME"='BONUS' OR
"OBJECT_NAME"='DEPT' OR "OBJECT_NAME"='EMP')))
```

Statistics

```
2669291 consistent gets
5 rows processed
```

```
SYS@htz1123 > @sql_monitor_by_sqlid.sql
Enter value for sqlid: 2h68pg6atawkj
```

Global Stats

Elapsed Time(s)	Cpu Time(s)	IO Waits(s)	Other Waits(s)	Fetch Calls	Buffer Gets	Read Reqs	Read Bytes
6.47	6.43	0.00	0.04	1	2M	61	6MB

SQL Plan Monitoring Details (Plan Hash Value=599902274)

Id	Operation	Name	Execs	Rows (Actual)	Activity (%)	Activity Detail (# samples)
0	SELECT STATEMENT		1	5		
1	FILTER		1	5		
2	TABLE ACCESS FULL	TEST1	1	74510		
3	TABLE ACCESS FULL	TEST3	2	1		
4	TABLE ACCESS FULL	TEST2	2504	3	100.00	Cpu (8)



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# OR遇到子查询的改写-改写UNION

OR是否改写成UNION?

```
SYS@htz1123 > SELECT owner, object_type
2 FROM SYSTEM.test1 a
3 WHERE ( a.object_id IN (51577, 51575, 51352)
4 AND a.owner IN (SELECT OWNER
5 FROM SYSTEM.test3
6 WHERE object_type = 'TABLE'))
7 union
8 SELECT owner, object_type
9 FROM SYSTEM.test1 a
10 WHERE ( a.object_type = 'TABLE'
11 AND a.DATA_OBJECT_ID IN (SELECT DATA_OBJECT_ID
12 FROM SYSTEM.test2
13 WHERE object_name IN ('EMP', 'BONUS', 'DEPT')))
14 /
```

OWNER	OBJECT_TYPE
SCOTT	TABLE
SYS	JAVA CLASS

Statistics

```
17 recursive calls
0 db block gets
4531 consistent gets
0 physical reads
0 redo size
671 bytes sent via SQL*Net to client
519 bytes received via SQL*Net from client
2 SQL*Net roundtrips to/from client
5 sorts (memory)
0 sorts (disk)
2 rows processed
```

# OR遇到子查询的改写-改写UNION

1/ OR

两个结果集求和，并且在两结果集之间的重复的物理行记录只保留一份

2/ UNION

两个结果集求和，求和后结果集的重复记录只保留一份



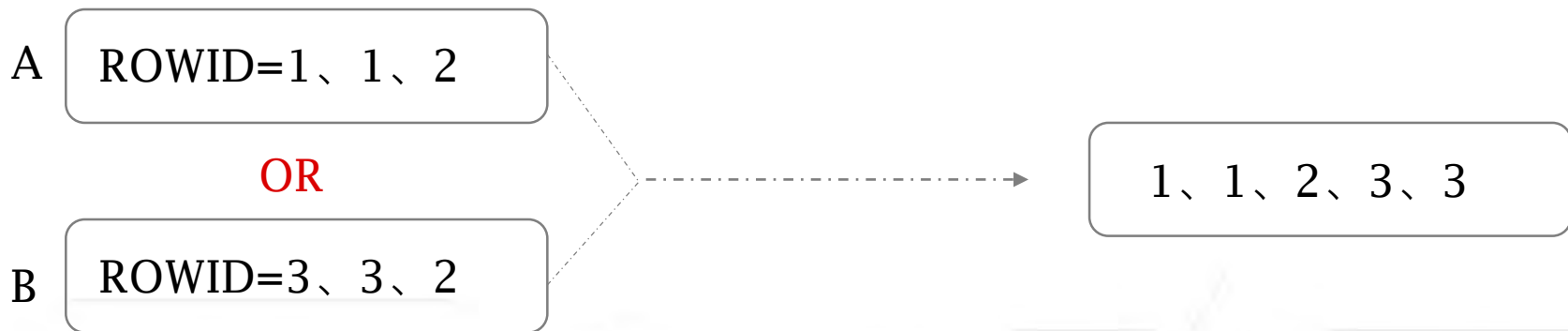
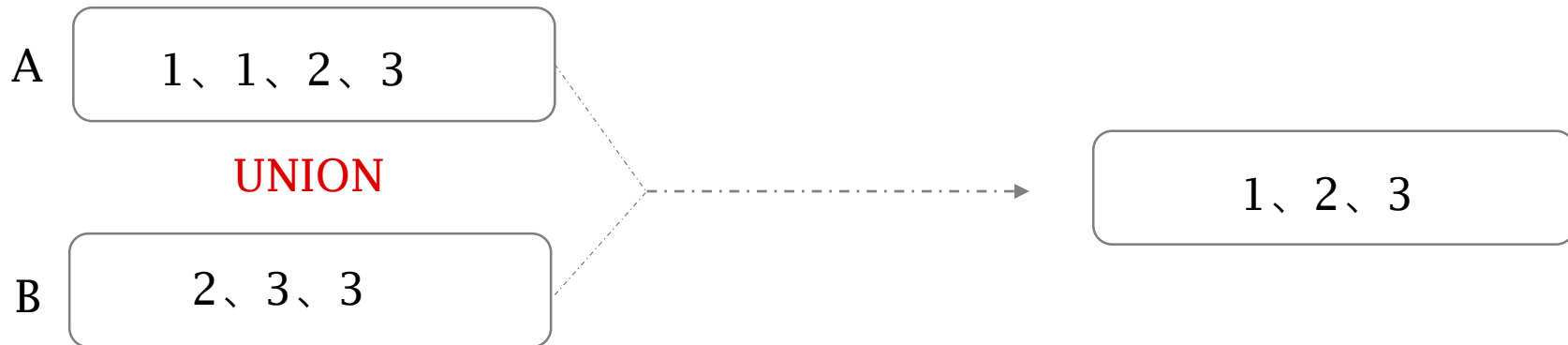
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# OR遇到子查询的改写-改写方案一

```
SELECT owner, object_type
FROM (SELECT ROWID, owner, object_type
      FROM SYSTEM.test1 a
      WHERE ( a.object_id IN (51577, 51575, 51352)
            AND a.owner IN (SELECT OWNER
                           FROM SYSTEM.test3
                           WHERE object_type = 'TABLE'))
UNION
SELECT ROWID, owner, object_type
FROM SYSTEM.test1 a
WHERE a.object_type = 'TABLE'
      AND a.DATA_OBJECT_ID IN
      (SELECT DATA_OBJECT_ID
       FROM SYSTEM.test3
       WHERE object_name IN ('EMP', 'BONUS', 'DEPT')))
```



# OR遇到子查询的改写-改写方案一

下面这条SQL语句那应该怎么改写呢？

```
SQL> SELECT COUNT (*)  
2     FROM (SELECT a.object_type  
3           FROM SYSTEM.test1 a, SYSTEM.test2 b  
4           WHERE a.object_type = b.object_type  
5           AND (a.owner = 'SYS' OR a.owner = 'SYSTEM'));
```

COUNT(\*)

21402120



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# OR遇到子查询的改写-改写方案一

改写方法如下：

```
SQL> SELECT COUNT (*)
2 FROM (SELECT a.object_type
3 FROM SYSTEM.test1 a, SYSTEM.test2 b
4 WHERE a.object_type = b.object_type
5 AND (a.owner = 'SYS' OR a.owner = 'SYSTEM'));
```

COUNT(\*)

21402120

```
SQL> SELECT COUNT (*)
2 FROM (SELECT a.ROWID, b.ROWID, a.object_type
3 FROM SYSTEM.test1 a, SYSTEM.test2 b
4 WHERE a.object_type = b.object_type AND a.owner = 'SYS'
5 UNION
6 SELECT a.ROWID, b.ROWID, a.object_type
7 FROM SYSTEM.test1 a, SYSTEM.test2 b
8 WHERE a.object_type = b.object_type AND a.owner = 'SYSTEM');
```

COUNT(\*)

21402120



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# OR遇到子查询的改写-改写方案二

```
SELECT owner, object_type
FROM SYSTEM.test1 a
WHERE ( a.object_id IN (51577, 51575,51352)
AND a.owner IN (SELECT OWNER
FROM SYSTEM.test3
WHERE object_type = 'TABLE'))

UNION ALL
SELECT owner, object_type
FROM SYSTEM.test1 a
WHERE a.object_type = 'TABLE'
AND a.DATA_OBJECT_ID IN (SELECT DATA_OBJECT_ID
FROM SYSTEM.test3
WHERE object_name IN ('EMP', 'BONUS', 'DEPT'))
AND ( LNNVL (a.object_id = 51352)
AND LNNVL (a.object_id = 51575)
AND LNNVL (a.object_id = 51577))
```



# OR遇到子查询的改写-改写方案三

## 1/ 其它改写方案:

还有其它很多的改写方案：如利用分析函数来求rowid的最小值，最大值都是可以的

## 2/ OR在什么情况下可以直接改写成union

结果集中无物理重复行的时



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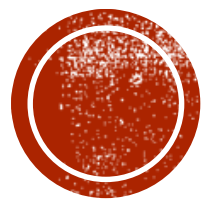


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# 案例2：BUG永远爱你



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# BUG永远爱你-OR扩展遇BUG

```
select *
  from (select row_.*, rownum rownum_
        from (select
              from (SELECT ( SELECT MAX(D.GJSJ)
                           FROM FW_DHSQ D
                           WHERE C.KHSQBS = D.KHSQBS) AS PARAM2
              FROM FW_KFGDXX B, FW_KHSQ C, FW_QXYWFJXX A, FW_DHSQ D
              WHERE B.KHSQBS = C.KHSQBS
                   AND A.KFGZDBS = B.KFGZDBS
                   AND C.KHSQBS = D.KHSQBS
                   AND B.ZFBZ = '0'
                   AND B.YWLBDM IN ('01')
                   AND B.SLZZBM IN
                       (SELECT ZZBM
                        FROM XT_ZZ Z
                        WHERE Z.ZTBZ = '1'
                        START WITH Z.ZZBM = '0609'
                        CONNECT BY Z.SJZZBM = PRIOR Z.ZZBM)
                   AND B.GZDZTDM = '3'
                   AND B.GDSJ >= to_date('2016-01-01', 'yyyy-mm-dd')
                   AND B.GDSJ <= sysdate
                   AND ((A.DDXCSJ - D.GJSJ) * 24 * 60 > 45 AND A.YDQY = '1') OR
                      ((A.DDXCSJ - D.GJSJ) * 24 * 60 > 90 AND A.YDQY = '2') OR
                      ((A.DDXCSJ - D.GJSJ) * 24 * 60 > 120 AND A.YDQY = '3'))
                   AND A.DDXCSJ IS NOT NULL
                   AND D.GJSJ IS NOT NULL) row_
        where rownum <= 10)
 where rownum_ > 0
```



# BUG永远爱你-OR扩展遇BUG

Id	Operation	Name	Rows	Pstart	Pstop
0	SELECT STATEMENT				
1	SORT AGGREGATE		1		
2	TABLE ACCESS BY GLOBAL INDEX ROWID	FW_DHSQ	1	ROW LOCATION	ROW L
3	INDEX RANGE SCAN	IDX_FW_DHSQ_KHSQBS	1		
4	VIEW		3		
5	COUNT STOPKEY				
6	CONCATENATION		1		
7	FILTER				
8	FILTER				
9	NESTED LOOPS SEMI		1		
10	NESTED LOOPS		1		
11	NESTED LOOPS		1		
12	NESTED LOOPS		5		
13	PARTITION LIST ALL		1	1	12
14	TABLE ACCESS FULL	FW_DHSQ	1	1	12
15	TABLE ACCESS FULL	FW_QXYWFJXX	62		
16	TABLE ACCESS BY GLOBAL INDEX ROWID	FW_KFGDXX	1	ROW LOCATION	ROW L
17	INDEX UNIQUE SCAN	PK_FW_KFGDXX	1		
18	INDEX UNIQUE SCAN	PK_FW_KHSQ	1		
19	VIEW	VW_NS0_1	1		
20	FILTER				
21	CONNECT BY WITH FILTERING				
22	TABLE ACCESS BY INDEX ROWID	XT_ZZ	1		
23	INDEX UNIQUE SCAN	PK_XT_ZZ	1		
24	NESTED LOOPS		3		
25	CONNECT BY PUMP				
26	TABLE ACCESS BY INDEX ROWID	XT_ZZ	3		
27	INDEX RANGE SCAN	IDX_XT_ZZ_SJZZBM	3		



# BUG永远爱你-OR扩展遇BUG

Predicate Information:

```
3 - access("D"."KHSQBS"=:B1)
4 - filter("ROWNUM_">0)
5 - filter(ROWNUM<=10)
7 - filter(ROWNUM<=10)
8 - filter(TO DATE(' 2016-01-01 00:00:00', 'syyy-mm-dd hh24:mi:ss')<=SYSDATE@!)
14 - filter("D"."GJSJ" IS NOT NULL)
15 - filter(("A"."YDQY"='3' AND "A"."DDXCSJ" IS NOT NULL AND ("A"."DDXCSJ"- "D"."GJSJ")*24*60>120))
16 - filter(("B"."YWLBDM"='01' AND "B"."GZDZTDM"='3' AND "B"."ZFBZ"='0' AND "B"."GDSJ">=TO_DATE(' 2
17 - access("A"."KFGZDBS"="B"."KFGZDBS")
18 - access("C"."KHSQBS"="D"."KHSQBS")
18 - filter("B"."KHSQBS"="C"."KHSQBS")
19 - filter("B"."SLZZBM"="ZZBM")
20 - filter("Z"."ZTBZ"='1')
21 - access("Z"."SJZZBM"=PRIOR NULL)
23 - access("Z"."ZZBM"='0609')
27 - access("Z"."SJZZBM"="connect$_by$_pump$_009"."PRIOR Z.ZZBM")
```



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# BUG永远爱你-10053分析原因

OR扩展时  
10053的  
部分信息

```
Access path analysis for FW_DHSQ
*****
SINGLE TABLE ACCESS PATH
Single Table Cardinality Estimation for FW_DHSQ[D]
Column (#7): GJSJ(1
  AvgLen: 8 NDV: 536768 Nulls: 28243 Density: 0.000002 Min: 2457393 Max: 2457542
Table: FW_DHSQ Alias: D
Card: Original: 15238.000000 Rounded: 1 Computed: 0.00 Non Adjusted: 0.00

Access path analysis for FW_DHSQ
*****
SINGLE TABLE ACCESS PATH (First K Rows)
Single Table Cardinality Estimation for FW_DHSQ[D]
Table: FW_DHSQ Alias: D
Card: Original: 15238.000000 Rounded: 1 Computed: 0.00 Non Adjusted: 0.00
Access Path: TableScan

***** trying bitmap/domain indexes *****
***** finished trying bitmap/domain indexes *****
Best:: AccessPath: TableScan
```



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# BUG永远爱你-OR扩展遇BUG

OR不扩展时的  
10053部分信息

```
Access path analysis for FW_DHSQ
*****
SINGLE TABLE ACCESS PATH
Single Table Cardinality Estimation for FW_DHSQ[D]
Column (#7): GJSJ(
  AvgLen: 8 NDV: 536768 Nulls: 28243 Density: 0.000002 Min: 2457393 Max: 2457542
Table: FW_DHSQ Alias: D
  Card: Original: 587562.000000 Rounded: 559319 Computed: 559319.00 Non Adjusted: 559319.00
Access Path: TableScan
  Cost: 4477.31 Resp: 4477.31 Degree: 0
    Cost_io: 4443.00 Cost_cpu: 287184596
    Resp_io: 4443.00 Resp_cpu: 287184596
***** trying bitmap/domain indexes *****
***** finished trying bitmap/domain indexes *****
Best:: AccessPath: TableScan
  Cost: 4477.31 Degree: 1 Resp: 4477.31 Card: 559319.00 Bytes: 0
```



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# BUG永远爱你-OR扩展遇BUG

10053的对比结果:

OR展开时遇到ROWNUM出现Card值错误

通过MOS搜索: **OR expansion**发现下面bug

## **Bug 15996520 Suboptimal execution plan OR expansion and First K rows**

This note gives a brief overview of bug 15996520.  
The content was last updated on: 14-FEB-2017  
Click [here](#) for details of each of the sections below.

### **Affects:**

<b>Product (Component)</b>	Oracle Server (Rdbms)
<b>Range of versions believed to be affected</b>	(Not specified)
<b>Versions confirmed as being affected</b>	<ul style="list-style-type: none"><li>• <a href="#">12.1.0.1 (Base Release)</a></li><li>• <a href="#">11.2.0.3</a></li></ul>
<b>Platforms affected</b>	Generic (all / most platforms affected)

### **Fixed:**

<b>The fix for 15996520 is first included in</b>	<ul style="list-style-type: none"><li>• <a href="#">12.2.0.1 (Base Release)</a></li><li>• <a href="#">12.1.0.2 (Server Patch Set)</a></li><li>• <a href="#">11.2.0.4 (Server Patch Set)</a></li><li>• <a href="#">11.2.0.3 Patch 16 on Windows Platforms</a></li></ul>
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一个分享交流的地方



微信号: eyygle



Long Press QR Code To  
Identify The Concern

长按二维码识别关注



扫一扫，加入我们，分享更多知识



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THANKS



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